



## SUBSTITUTE SPECIFICATION

Ser. No. 09/903,515  
Examiner Lavin  
Group Art Unit 2621

### VISUAL TRACKING METHOD BY COLOR INFORMATION

#### 5 BACKGROUND OF THE INVENTION

##### Field of the Invention

- The present invention relates to a method of visual tracking with use of color.
- 10 More particularly, the invention relates to a visual target tracking method applicable for various fields in such techniques as correcting important parts of recorded images for broadcasting stations, tracking human faces in unmanned monitoring systems, tracking speakers for remote conferences, or remote conversation through communication networks, setting face contours for face recognition in security systems, tracking of a
- 15 specified target, and so forth.

The need for tracking or recognizing a target having a specific color is increased in various application fields like broadcasting, unmanned monitoring systems, security systems, remote conferencing through communication networks, control of unmanned flying objects, unmanned docking systems, etc. Considering the fact that a majority of

20 information human beings obtain is through visual means, visual tracking techniques are expected to further expand their application fields in the future.

##### Description of the Related Art

In conventional visual tracking techniques using color information, colors of the target have been represented by color models known to be robust with respect to illumination changes, such as in normalized Red-Green-Blue (R-G-B) space or Hue-Saturation-Intensity (H-S-I) space.

However, these color models well accommodate uniform changes of illumination, but show limitations in cases where the brightness of the target changes irregularly due to

30 angle changes between light source and target or the brightness changes abruptly.

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For example, when a man is walking through a gallery whose ceiling is equipped with fluorescent lights at uniform intervals, the relative position of the immediately affecting fluorescent light relative to the man keeps changing and, therefore, the brightness of the man's face keeps changing. And depending on the direction the man is moving, it often probable that one side of the face gets darker while the other side gets lighter. Moreover, the surface reflectiveness of a human face is hardly uniform due to secretion of sweat and it is extremely unlikely for the whole face to have uniform illumination changes.

In other words, since color distribution changes as illumination intensity varies, the conventional visual tracking techniques have problems in using a color model normalized and set-up for uniform illumination changes.

### SUMMARY OF THE INVENTION

It is an object of the present invention to resolve the aforementioned problems by providing a method of visual tracking with use of color information which is robust to irregular or abrupt changes of illumination.

It is another object of the present invention to provide a visual tracking method using color information in which a characteristic of the photographing element of the camera is analyzed and the results of the analysis is modeled by a B-spline curve, allowing real-time visual tracking and application to situations of rapid movement of the target.

The present invention proposes a visual tracking method in which the photographing element of a camera (CCD or CMOS) is analyzed for brightness characteristics and the analysis results are modeled beforehand so that it resolves the problems of the conventional visual tracking algorithm, which is not adaptable to non-uniform or irregular changes of illumination.

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The present invention also proposes a motion acceleration predictor for improving the speed of visual tracking.

The present invention provides a visual tracking method using color information comprising: a three-dimensional color modeling step in which images obtained under 5 various illumination conditions are analyzed and thereby the photographing characteristics of the camera as to the target is represented by a three-dimensional model; a target recognition step in which judgement is made by the difference between the previous and the current images about whether or not a new target object appears, the target region is located by applying the color model in said three-dimensional color 10 modeling step, and the final decision is made as to whether or not visual tracking is to be performed for the target depending on the shape analysis of the target region, and a third step of visual tracking in which an arbitrary pixel is monitored and judged using said color model if it belongs to the target region, the judgement process being adaptable at the same time to the movement speed of the target by estimating the movement of the 15 target region.

The above and other features and advantages of the present invention will be more clearly understood for those skilled in the art from the following detailed description taken in conjunction with the accompanying drawings, which form a part of this disclosure.

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## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic block diagram representing the concept of the visual tracking method according to the present invention;

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FIG. 2 is a graph showing the average hue to the brightness value modeled by the present invention;

FIG. 3 is a graph showing the standard deviation of hue to the brightness value modeled by the present invention;

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FIG. 4 is a graph showing the average saturation to the brightness value modeled by the present invention; and

FIG. 5 is a graph showing the standard deviation of saturation to the brightness value modeled by the present invention.

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## **DETAILED DESCRIPTION OF THE EMBODIMENT**

Hereinafter, the present invention is described in detail by referring to the accompanying drawings.

10       First of all, the visual tracking method according to the present invention comprises the step of three-dimensional color modeling of the target (S100), the step of target recognition for initially perceiving the target (S200), and the step of visual tracking for repetitive visual tracking (S300). The basic concept of the invention is schematically shown in FIG. 1.

15       The first step of three-dimensional color modeling (S100) is the step of establishing a three-dimensional color model, which has to be performed before initiation of the visual tracking.

20       The above color model consists of four quadratic functions representing average and standard deviation of target hue with respect to brightness changes and average and standard deviation of target saturation. These four quadratic functions constitute a single Gaussian function.

25       For this purpose, the model is obtained by dividing the whole region subject to brightness changes of the image into n regions with regular sizes and by approximating two adjacent regions using quadratic curves, accomplishing the model for the whole region.

Here, each region is represented by a quadratic function such as Eqn. 1 along with boundary conditions of the quadratic curves represented by Eqns. 2-4. In other words, the model is built by the region curve (Eqn. 1) along with boundary value

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condition (Eqn. 2) , continuity condition at the boundary (Eqn. 3) , and second derivative condition for the region curve (Eqn. 4) as shown in the following:

[Eqn. 1]

$$f_i(x) = a_i \cdot x^2 + b_i \cdot x + c_i .$$

5

[Eqn. 2]

$$f_i(x_i) = a_i \cdot x_i^2 + b_i \cdot x_i + c_i = f(x_i) , \text{ for } i = 1, 2, \dots, n-1 .$$

$$f_i(x_{i+1}) = a_i \cdot x_{i+1}^2 + b_i \cdot x_{i+1} + c_i = f(x_{i+1}) , \text{ for } i = 1, 2, \dots, n-1 .$$

10 [Eqn. 3]

$$2 \cdot a_i \cdot x_{i+1} + b_i = 2 \cdot a_{i+1} \cdot x_{i+1} + b_{i+1} , \text{ for } i=1, 2, \dots, n-1 .$$

[Eqn. 4]

$$a_1 = 0.$$

15

Herein, x is the brightness of the concerning pixel, and f(x) is the average or standard deviation of the hue or the average or standard deviation of the saturation for the given brightness x.

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If the whole range of brightness is divided into 5, the relational equation for obtaining the coefficients of the quadratic curve for each region can be represented by a single matrix equation of Eqn.-5 as shown in the following:

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[Eqn. 5]

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$$(b_1 \ c_1 \ a_2 \ b_2 \ c_2 \ a_3 \ b_3 \ c_3 \ a_4 \ b_4 \ c_4)^T =$$

$$\left[ \begin{array}{cccccccccc} x_1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & x_2^2 & x_2 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & x_3^2 & x_3 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & x_4^2 & x_4 \\ x_2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & x_3^2 & x_3 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & x_4^2 & x_4 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & x_5^2 & x_5 & 1 \\ 1 & 0 & -2x_2 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2x_3 & 1 & 0 & -2x_3 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2x_4 & 1 & 0 & -2x_4 & -1 \end{array} \right]^{-1} \left[ \begin{array}{c} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \\ f(x_2) \\ f(x_3) \\ f(x_4) \\ f(x_5) \\ 0 \\ 0 \end{array} \right]$$

Herein, ( $a_i$ ,  $b_i$ ,  $c_i$ ) for  $i=1, 2, 3$ , and  $4$  denote coefficients for a quadratic curve representing each region.

Based on four relational equations obtained above, the three-dimensional color model is represented by the following Eqns. 6 and 7; i.e.,

[Eqn. 6]

$$10 \quad H_m(i) - T_h \bullet H_\sigma(i) \leq H_{3D}(i) \leq H_m(i) + T_h \bullet H_\sigma(i)$$

[Eqn. 7]

$$S_m(i) - T_h \bullet S_\sigma(i) \leq S_{3D}(i) \leq S_m(i) + T_h \bullet S_\sigma(i)$$

15 Herein,  $H_m(i)$  for  $0 \leq i \leq 255$  is the function of hue average,  $H_\sigma(i)$  for  $0 \leq i \leq 255$  is the function of hue standard deviation,  $S_m(i)$  for  $0 \leq i \leq 255$  is the function of saturation average,  $S_\sigma(i)$  for  $0 \leq i \leq 255$  is the function of saturation standard deviation, and  $H_{3D}(i)$  for  $0 \leq i \leq 255$  and  $S_{3D}(i)$  for  $0 \leq i \leq 255$  are the color model functions.

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In the second step of target recognition (S200), judgement is made about whether a new target object appears by the difference between the previous and the current images, the target region is located by applying the color model newly proposed in the previous step, and the final decision is made as to whether or not visual tracking is to be  
5 performed for the target by shape analysis of the target region.

The aforementioned shape analysis is performed by comparing the actual shape of the image in the target region against the outlined shape inputted beforehand. For example, if a human face is tracked, an egg shape is chosen as the reference for contour comparison; if a ball is tracked, a circular shape is chosen as a comparison reference.

10 In the third step of visual tracking (S300), the recognized target region is continuously tracked.

In this step, an arbitrary pixel is monitored and judged continuously if it belongs to the target region using the formulated color model, while the target region movement is estimated and the judgement process has to accommodate the movement speed of the  
15 target.

A Kalman filter technique might be considered for the movement prediction. However, it has a slow algorithmic speed due to its heavy arithmetic load, and an exhibits the drawback that prompt adaptation is impossible for abrupt movement because it utilizes too much past information.

20 To cope with this difficulty, the present invention employs a simple movement tracking

method, which uses analysis results of the past three images as shown in Eqn. 8; i.e.,

[Eqn. 8]

$$25 P_m(i) = \frac{d\left(\frac{F_m(i-1)}{dt}\right)}{dt} \approx \frac{F_m(i-1) - 2 \bullet F_m(i-2) + F_m(i-3)}{\Delta t \bullet \Delta t}$$

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Herein,  $P_m(i)$  is the predicted acceleration of the target region in the  $i$ -th image,  $F_m(i)$  is the position of the target region in the  $i$ -th image, and  $\Delta t$  is the time increment between the  $i$ -th and  $(i-1)$ -th images.

5 Figs. 2 through 5 show four curves which are modeled for face tracking according to the present invention under various illumination conditions.

In Figs. 2 through 5, the abscissa represents brightness value while the ordinate represents average and standard deviation of hue and those of saturation, respectively.

10 According to the present invention, the brightness of the face changes depending on the relative position of the ceiling fluorescent lamp to the face, and the tracking works continuously even if illumination is abruptly darkened.

15 As shown previously, the present invention allows adaptable performance of a camera when a target with a specific color is tracked under irregular or non-uniform change of illumination. In other words, the camera photographing characteristics as to the target are three-dimensionally modeled by analyzing the images obtained under various brightness conditions, thus enhancing reliability against illumination changes, and a simple acceleration predictor with a light arithmetic burden is employed for adaptation to movement speed change of the target. As a result, the present invention has an effect which can be utilized in various application fields like broadcasting, unmanned monitoring systems, security systems, remote conferencing through communication networks, control of unmanned flying objects, unmanned docking systems, and so forth. 20 Although the present invention has been described and illustrated in connection with the specific embodiments, it will be apparent for those skilled in the art that various modifications and changes may be made without departing from the idea and scope of the present invention set forth in the appended claims.